



**PATENT**  
Atty Docket No. 65936  
First Class Mail

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s): David W. Gregg  
Serial No.: 10/697,364  
Filed: October 30, 2003  
For: Concrete Stamping Apparatus

Group No. Unknown  
Examiner: Unknown  
Confirmation No. Unknown

Mail Stop Non-Fee  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**TRANSMITTAL**

Dear Sir:

Attached please find the following:

- Information Disclosure Statement (5 pages)
- Information Disclosure Statement by Applicant including the 5 listed references
- Certificate of Mailing by First Class Mail
- Stamped, pre-addressed postcard

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**CERTIFICATE OF MAILING**

I hereby certify that, on the date shown below, this correspondence is being

deposited with the United States Postal Service in an envelope addressed to: Mail Stop Non-Fee, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

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**37 C.F.R. §1.8**  
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Gayle Canfield

*Gayle Canfield*  
Signature

\_\_\_\_\_  
November 14, 2003  
\_\_\_\_\_  
Date

**PATENT**  
Atty Docket No. 65936  
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The Commissioner is hereby authorized to charge any additional fees, which may be required to Deposit Account No. 50-1662. A duplicate of this request is attached.

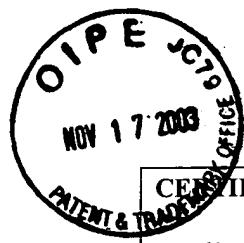
Respectfully submitted,

POLSINELLI SHALTON & WELTE, P.C.

Date: 11/14/03

JR Smith Jr  
Jerome R. Smith, Jr., Reg. No. 35,684  
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Attorney for Applicants

30026 / 65936  
JRSMI 1052828



CERTIFICATE OF MAILING BY "FIRST CLASS MAIL" (37 CFR 1.8)				Matter No.
Applicant(s): David W. Gregg				65936
Serial No.	Filing Date	Examiner	Group Art No.	Confirmation No.
10/697,364	October 30, 2003	Not Yet Assigned	Not Yet Assigned	Not Yet Assigned
Invention: CONCRETE STAMPING APPARATUS				
<p>I hereby certify that a Transmittal (2 pages, in duplicate); Information Disclosure Statement (5 pages); Information Disclosure Statement by Applicant including the 5 listed references; Certificate of Mailing by First Class Mail (1 page); and a stamped, pre-addressed postcard are being mailed by U.S. Postal Service First Class Mail to Addressee, Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, this 14th day of November, 2003.</p>				
<p style="text-align: center;"><u>Gayle Canfield</u> (Typed or Printed Name of Person Mailing Correspondence)</p>				
<p style="text-align: center;"><u><i>Gayle Canfield</i></u> (Signature of Person Mailing Correspondence)</p>				



PATENT  
Atty Docket No. 65936  
First Class Mail

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s):	David W. Gregg	Group No.	Not Yet Assigned
Serial No.:	10/697,364	Examiner:	Not Yet Assigned
Filed:	October 30, 2003	Conf. No.	Not Yet Assigned
For:	Concrete Stamping Apparatus		

Mail Stop Non-Fee  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

Dear Sir:

It is desired to cite for the record in this application the enclosed documents listed on the attached copy of PTO Form 1449. In sections I-IV, below, the paragraph(s) marked with an "x" in the requisite space are applicable to this Information Disclosure Statement.

**I. REQUEST FOR CONSIDERATION**

[ ] (a) The enclosed Information Disclosure Statement is being filed within three months of the filing date or within three months of the entry of the national stage of the above-

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**CERTIFICATE OF MAILING**

I hereby certify that, on the date shown below, this correspondence is being

deposited with the United States Postal Service in an envelope addressed to Mail Stop Non-Fee, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

**MAILING**

**37 C.F.R. §1.8**  
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 as "Express Mail Post Office to Addressee"  
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**FACSIMILE TRANSMISSION**

transmitted by facsimile to the Patent and Trademark Office.

\_\_\_\_\_  
Gayle Canfield  
Name of Depositor

\_\_\_\_\_  
Signature

\_\_\_\_\_  
November 14, 2003  
Date

**PATENT**  
Atty Docket No. 65936  
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identified application. Accordingly, applicant(s) believe(s) that no fee or certification is required.

**[ X ] (b) Applicant(s) believe(s) the enclosed Information Disclosure Statement is entitled to the benefit of 37 CFR §1.97 (b) (3) as it is being filed before the mailing of the first Office Action on the merits. Accordingly, applicant(s) believe(s) that no fee or certification is required.**

**[ ] (c) Applicant(s) believe(s) the enclosed Information Disclosure Statement is entitled to the benefit of 37 CFR §1.97 (b) (4) as it is being submitted before the mailing of an Office Action after the filing of a request for continued examination under 37 CFR §1.114. Accordingly, applicant(s) believe(s) that no fee for certification is required.**

**[ ] (d) Pursuant to 37 CFR §1.97(c), the enclosed Information Disclosure Statement is being filed before the mailing date of a final action or a notice of allowance and is accompanied by:**

- [ ] a certification under 37 CFR §1.97(e); or**
- [ ] the fee set forth in 37 CFR §1.17 (p);**
- [ ] a check for this fee is enclosed herewith; or**
- [ ] please charge this fee to Deposit Account No. 50-1662.**

**II. PETITION UNDER 37 CFR 1.97 (d)**

**[ ] a) Pursuant to 37 CFR §1.97 (d), applicant(s) hereby petition(s) the Commissioner to consider the attached Information Disclosure Statement. Applicant(s) state(s) that the issue fee has not been paid and that a certification under 37 CFR §1.97 (e) is provided herein, along with the petition fee of \$130.00 required under 37 CFR §1.17 (i) (1);**

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[ ] a check for this petition fee is enclosed herewith;  
[ ] please charge this petition fee to Deposit Account No. 50-1662;

and

b) The information disclosure fee of \$200.00 required by 37 CFR §1.17 (p) is believed to be due, and

[ ] a check for this fee is enclosed herewith; or  
[ ] please charge this fee to Deposit Account No. 50-1662.

**III. CERTIFICATION UNDER 37 CFR §1.97 (e) (1)**

[ ] The undersigned hereby certifies that each item of information contained in the attached Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application mailed not more than three months prior to the filing of this statement. Art was cited in an International search Report mailed \_\_\_\_\_ in the PCT Application No. \_\_\_\_\_ corresponding to the above listed patent application.

[ ] A copy of the \_\_\_\_\_ by the International Preliminary Examining Authority is enclosed herewith.

**IV. CERTIFICATION UNDER 37 CFR §1.97 (e) (2)**

[ ] The undersigned hereby certifies that no item of information contained in the attached Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, after making reasonable inquiry, was known to any individual having a duty of disclosure as set forth in 37 CFR §1.56 (c) more than three months prior to the filing of this statement.

The filing of this Information Disclosure Statement is not a representation by the undersigned as to personal knowledge of the contents of every word or phrase of the material enclosed or that reliance on other suitably trained professionals has not been made.

If a search report of a governmental or international or regional bureau searching agency is enclosed identifying the nature of the relevance of each document, such a designation is deemed to satisfy 37 CFR §1.98(a) (3) even if in a foreign language, since the few terms of relevance therein are deemed of universal cognizance. However, applicant does not necessarily adopt the position reflected by that report.

The information herein cited is only in fulfillment of Applicant's(s') duty of candor in disclosing all information brought to Applicant's(s') attention. This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art". If it should be determined that any of the listed documents do not constitute "prior art" under United States law, Applicant(s) reserve(s) the right to present to the U.S. Patent and Trademark Office the relevant facts and law regarding the appropriate status of such documents.

Applicant(s) further reserve(s) the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

**PATENT**

Atty Docket No. 65936

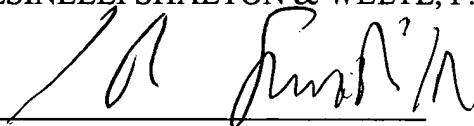
First Class Mail

The Commissioner is hereby authorized to charge payment of any additional fees  
associated with this communication or credit any overpayment to Deposit Account No. 50-1662.

Respectfully submitted,

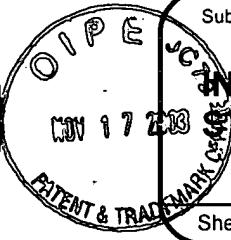
POLSONELLI SHALTON & WELTE, P.C.

Date: 11/14/03

By:   
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Attorney for Applicant(s)

30026 / 65936  
JRSMI 1052835

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**Substitute for form 1449A/PTO**

# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

*(use as many sheets as necessary)*

Sheet 1 of 2

<b>C mplete if Known</b>	
Application Number	10/697,364
Filing Date	October 30, 2003
First Named Inventor	David W. Gregg
Art Unit	Unknown
Examiner Name	Unknown
Attorney Docket Number	65936

## **U.S. PATENT DOCUMENTS**

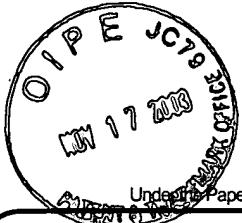
## FOREIGN PATENT DOCUMENTS

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

*If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.*



PTO/SB/08b(05-03)

Approved for use through 04/30/2003. OMB 0651-0031

Approved for use through 04/30/2006. GPO 2004-093

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## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet

of 2

***Complete if Known***

Application Number	10/697,364
Filing Date	October 30, 2003
First Named Inventor	David W. Gregg
Group Art Unit	Unknown
Examiner Name	Unknown

Attorney Docket Number 65936

## **OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS**

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

**1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.**

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